

**ACCOUNT OPENING FORM – CORPORATE**

開戶申請書 — 公司帳戶

**DIFFER FINANCIAL AND SECURITIES LIMITED (“COMPANY”)****鼎豐金融證券有限公司**

Suite 503, 5/F, AIA Central,  
1 Connaught Road Central, Central, Hong Kong  
香港中環干諾道中 1 號友邦金融中心 5 樓 503 室

Tel 電話號碼: (852) 3628 3690, Fax 傳真號碼: (852) 3628 3692

SFC Licensed Corporation 證券及期貨事務監察委員會持牌法團 (CE No.中央編號 : BKZ085)

HKEx Participant 香港聯合交易所有限公司參與者 (Broker No. 經紀代號 :1819)

Website 網址: www.differsecurities.com Email 電郵: cs@dfh.cn

Account No.: 戶口號碼

**CORPORATE INFORMATION 公司資料**

Name in English 英文名稱

Name in Chinese 中文名稱

Business Nature 業務性質

Place of Incorporation 註冊成立地

Date of Incorporation 註冊成立日期

Certificate of Incorporation No. 公司註冊號碼

Business Registration No. 商業登記號碼

Registered Address 註冊地址

Business Address (P.O. Box not accepted)

營業地址 (不接受郵政信箱)

Please state if different from above (如與上述有異議)

Correspondence Address (P.O. Box not accepted)

通訊地址 (不接受郵政信箱)

Please state if different from above (如與上述有異議)

E-mail Address 電郵地址

Telephone No. 電話號碼

Fax No. 傳真號碼

Nature of Entity 公司類別

- |  |   |
|--|---|
| <input type="checkbox"/> Listed Company 上市公司   | <input type="checkbox"/> Private Company 私人公司 |
| <input type="checkbox"/> Offshore Company 離岸公司 | <input type="checkbox"/> Partnership 合夥       |
| <input type="checkbox"/> Trust 信託              | <input type="checkbox"/> Others 其他 :          |

**FINANCIAL PROFILE 財務概況**

The Company is required to obtain this information to fulfill the “know-your-client requirements” of the Securities and Futures Commission of Hong Kong. 本公司根據香港證券及期貨事務監察委員會所制定之「認識你的客戶要求」而索取有關資料。

Paid up Share Capital 繳足股本

Liquid Assets 流動資產

Net Assets Value 資產淨值

Latest Annual Profits 最近溢利

**PARTICULARS OF ALL DIRECTORS 所有董事資料**

Name 姓名	HK ID / Passport No. 香港身份證 / 護照號碼	Residential Address 居住地址	Contact No. 聯絡電話號碼

**PARTICULARS OF ALL INDIVIDUALS WHO ARE DIRECTLY OR INDIRECTLY HOLD 5% OR MORE OF THE SHARES IN ISSUE OR EQUITY INTEREST OF THE COMPANY**

所有直接或間接持有百分之五或以上公司已經發行股份或股本權益的人士

Name 姓名	HK ID / Passport No. 香港身份證 / 護照號碼	Residential Address 居住地址	% of Share(s) 擁有股份%
			100%

**DISCLOSURE OF IDENTITY AND RELATED ACCOUNT(S) 披露身份及關連帳戶**

Is any of your director, substantial shareholder, ultimate beneficiary, partner or authorized signatories a director or an employee or an accredited person of any person (including individual and corporation) licensed by or registered with The Securities and Futures Commission of Hong Kong?

閣下之任何董事、主要股東、最終實益擁有人、合夥人或獲授權人士是否獲香港證券及期貨事務監察委員會發牌或註冊之人士(包括個人及公司)之董事、僱員或認可人士?

Yes, Please specify name of licensed/registered person/corporation and the respective CE no.

是, 請列明持牌人士或公司名稱, 及其相關中央編號 \_\_\_\_\_

No 否

Will you allow us to send the monthly statement of your DFSL's account to the above named person/corporation?

閣下是否允許 鼎豐金融證券有限公司 把您的證券帳戶月結單發送至上述個人/公司?

No 否  Yes 是

Is any of your director, substantial shareholder, ultimate beneficiary, partner or authorized signatories have any relationship with an employee(s) or director(s) of Differ Financial and Securities Limited or its associated companies?

閣下之任何董事、主要股東、最終實益擁有人、合夥人或獲授權人士是否與 鼎豐金融證券有限公司 或其關聯公司之僱員/董事有任何關係?

No 否

Yes, please provide details 是, 請提供詳細資料:

Name 姓名

Relationship 關係

Is any member of your group companies an existing account holder of Differ Financial and Securities Limited?

集團公司旗下成員是否已持有 鼎豐金融證券有限公司 之戶口?

No 否

Yes please provide details

Account Name 帳戶名稱

是, 請提供詳細資料

Account No. 帳戶號碼

Is any of your director, shareholder, ultimate beneficiary owner, partner or authorized signatories a director, a senior executive or a substantial shareholder of any listed company? 閣下之任何董事、股東、最終實益擁有人、合夥人或獲授權人士是否為任何上市公司董事、高級管理層或大股東?

No 否  Yes, please provide details 是, 請提供詳細資料:

**SETTLEMENT ACCOUNT DETAILS 結算帳戶資料****Hong Kong Dollar Account 港元帳戶**

Name of Bank *Default Account 銀行名稱 *預設帳戶	Bank Account No. 銀行帳戶號碼
Bank Account Name 銀行帳戶名稱	Bank Code 銀行代碼
Bank Address 銀行地址	
Remarks 備註	

**Foreign Currency Account 外幣帳戶**

Name of Bank 銀行名稱	Bank Account No. 銀行帳戶號碼
Bank Account Name 銀行帳戶名稱	Bank Code 銀行代碼
Bank Address 銀行地址	
SWIFT Code 銀行 SWIFT 代號	Remarks 備註

**Statement Delivery 結單收取形式**

What is your preferred language of the statement?  
閣下希望收取哪種語言的結單?

- English 英文  
 Chinese 中文

**Sources of Funds 資金來源**

- Shareholder Fund 股東資金       Investment accumulate profit 投資預計盈利       Others 其他 · 請註明：  
 Operation Profit 營運所得       Loan 貸款

**Expected Investment Amount 預計投資額**

- <HK\$100,001 – HK\$500,000       HK\$1,000,001 – HK\$5,000,000       >HK\$10,000,000  
 HK\$500,001 – HK\$1,000,000       HK\$5,000,001 – HK\$10,000,000

**Authorized Person(s) To Operate Your Account 獲授權操作戶口人士**

The Account may be operated on the instructions of any \_\_\_\_ of the following persons (each an "Authorized Person"), pursuant to signature arrangements below. 本帳戶可根據下列的簽名安排 · 由下列的 \_\_\_\_ 人 ("獲授權人士") 發出指示及運作本帳戶

Authorized Person's Name 獲授權人士姓名	ID / Passport No and Issuing Country 身份證或護照號碼及發出國家及地區	Contact No. 聯絡電話號碼	Specimen Signature 簽名樣本
i.			
ii.			
iii.			

Company Chop (if any) 公司印章 (如有)

Please specify any other signature arrangement (if any) 請註明任何其他簽署安排 (如有) :

## RISK PROFILE QUESTIONNAIRE 風險承擔能力問卷

Please answer the following questions. They will help you to understand your attitude to risk.

請回答下列問題，這些問題可以幫閣下了解自己對投資風險的態度。

### Important 請注意:

The questions in this section are set for the purpose of assessing your attitude to risk and your risk tolerance in investment. There is no direct relationship between the questions asked in this section and the actual performance of any investments you may decide to purchase.

此部份之問題是為評估閣下對風險的態度及對投資風險的承受程度而設。這些問題與閣下可能進行的投資及其實際表現沒有直接關係。

How many years of investment experience do you have? 閣下有多少年的投資經驗?

	No experience 無經驗	Less than 1 year 1 年以下	1-5 years 1 至 5 年	6-10 years 6 至 10 年	Over 10 years 10 年以上
Stock 股票	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Bond 債券	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Mutual Fund/ Unit Trust 互惠基金/單位信託基金	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Client's/ Authorized Person(s)' Knowledge on Derivative Products and Trading Experience 客戶/獲授權人對衍生產品的認識及投資經驗

Will you trade in derivative product(s)?

閣下是否會投資於衍生產品?

No 否  Yes 是

Has/Have the authorized person(s) undergone training or attended any courses or seminars on derivative product(s)?

閣下有沒有參加過有關衍生產品的課程或培訓?

No 沒有  Yes, please provide details 有，請提供詳細資料 \_\_\_\_\_

Does/Do the authorized person(s) have any working experience related to derivative product(s)?

閣下有沒有關於衍生產品的工作經驗?

No 沒有  Yes, please provide details 有，請提供詳細資料 \_\_\_\_\_

Does/Do the authorized person(s) have any trading experience in derivative product(s)? 獲授權人有沒有任何買賣衍生產品的經驗?

- |                                |   |   |  |
|--------------------------------|---|---|--|
| <input type="checkbox"/> No 沒有 | <input type="checkbox"/> Yes 有<br>Please state:<br>請註明: | <input type="checkbox"/> Callable Bull/Bear Contracts 牛熊證 | <input type="checkbox"/> Futures & Options Contracts 期貨或期權合約交易           |
|                                |   | <input type="checkbox"/> Derivative Warrants 衍生認股證        | <input type="checkbox"/> Synthetic Exchange Traded Funds (ETF) 合成交易所買賣基金 |
|                                |   | <input type="checkbox"/> Equity Linked Instruments 股票掛鉤產品 | <input type="checkbox"/> Others 其他，請註明:                                  |

Total no. of transactions on derivative product(s) in the previous 3 years 前三年的衍生產品交易總數

less than 5 times 少於 5 次  
 5 times or above 5 次或以上

### Investment Objectives 投資目標:

(Select one 選擇一項)

Short Term 短線 (Less than 6 months 少於六個月)

Medium Term 中線 (6-24 months 六至二十四個月)

Long Term 長線 (over 2 years 超過二年)

(Select one 選擇一項)

Capital Preservation 保本

Capital Growth 增值

Dividend Income 股息收益

Speculation 投機

Hedging 對沖

Others 其他，請註明:

**A. For ALL Client 所有客戶**

1. Are you linked to politically exposed person? 閣下是否與政界人士有關聯?  
 (Politically exposed person – individual who is or has been entrusted with prominent public function e.g. head of state/government, senior politician, senior executive of government-owned corporation, important political party official, etc)  
 (政界人士: 指目前或以往曾經擔任重要公職的人士, 如國家或政府的首長, 資深政客, 政府高級官員和重要政黨官員等) ☐Yes 是 ☐No 否
2. Is the nature of your business particularly susceptible to money laundering risk?  
 閣下的業務性質, 是否特別容易蒙受較高的洗黑錢風險?  
 (For example, money changer or casino business that handles large amount of cash)  
 (例如 · 會涉及大量現金往來, 找換業或博彩業) ☐Yes 是 ☐No 否
3. Is there any reasonable suspicion or risk that money from you might be arising from or related to proceeds of crime?  
 是否存在任何合理的懷疑或風險 · 認為閣下的金錢來源於或涉及非法所得? ☐Yes 是 ☐No 否

**Money Laundering Risk Level 清洗黑錢風險程度**

☐ High 高 ☐ Normal 一般

Any above questions is answered "Yes" that will also be regarded as High Risk.

當以上任何回答為「是」將被定性為高風險。

**B. For Overseas Client (i.e. Client located outside Hong Kong) 海外客戶 (如客戶非本港居民)**

1. Is the country that you located is a member of the Financial Action Task Force ("FATF")?  
 (Members of FATF Include: Argentina, Australia, Austria, Belgium, Brazil, Canada, China, Denmark, Finland, France, Germany, Greece, Hong Kong, Iceland, India, Ireland, Italy, Japan, Korea, Luxembourg, Mexico, Netherlands, New Zealand, Norway, Portugal, Russian Federation, Singapore, South Africa, Spain, Sweden, Switzerland, Turkey, United Kingdom, USA, European Commission, and the Gulf Cooperation Council)  
 閣下居住的國家是否財務行動特別組織的成員?  
 (財務行動特別組織成員包括: 阿根廷、澳大利亞、奧地利、比利時、巴西、加拿大、中國、丹麥、芬蘭、法國、德國、希臘、香港、冰島、印度、愛爾蘭、意大利、日本、韓國、盧森堡、墨西哥、荷蘭、紐西蘭、挪威、葡萄牙、俄羅斯聯邦、新加坡、南非、西班牙、瑞典、瑞士、土耳其、英國、美國、歐洲委員會及海灣合作理事會) ☐Yes 是 ☐No 否
2. Are you located in a country with established laws/ regulations designed to prevent money laundering?  
 閣下居住的國家是否已有現行的法律或條例以制止洗黑錢的活動? ☐Yes 是 ☐No 否
- If the answer to (2) is Yes, are you subject to such laws/ regulations?  
 如閣下在(2)題的答案選擇"是", 閣下是否受到現行的法律或條例所管制? ☐Yes 是 ☐No 否
3. Have you maintained a "no conviction record" for anti-money laundering or anti-terrorist financing legislation? If no, please provide details on a separate sheet.  
 閣下是否就反洗黑錢條例或反恐怖分子籌資活動條例維持著"無罪紀錄"? 如否, 請另外提交一份詳細的資料。 ☐Yes 是 ☐No 否

**Money Laundering Risk Level 清洗黑錢風險程度**

☐ High 高 ☐ Normal 一般

Any above questions is answered "No" that will also be regarded as High Risk.

當以上任何回答為「否」將被定性為高風險。

**FATCA IDENTITY DECLARATION / FATCA 身份聲明**

- Do any of your shareholders possess any of the following U.S. indicia? 您們的任何股東有否擁有以下任何一項美國指標?  
 US citizenship / tax residency (e.g. US passport/Green Card holder, U.S. taxpayer, etc.)  
 美國公民 / 繳納美國稅務的居民 (如美國護照 / 綠卡持有者、美國納稅人等) ☐Yes 是 ☐No 否
- Born in USA 美國出生 ☐Yes 是 ☐No 否
- Address of USA (mailing address if living in USA) 美國地址 (如居住地址、郵寄地址) ☐Yes 是 ☐No 否
- US Tel No. (e.g. home, office, mobile or fax no.) 美國電話號碼 (如住宅、工作、流動、傳真號碼) ☐Yes 是 ☐No 否
- Standing instructions to pay amounts from Differ Financial and Securities Limited to an US account  
 向某美國銀行賬戶發出常設的款項轉賬指示 ☐Yes 是 ☐No 否

**FATCA IDENTITY DECLARATION / FATCA 身份聲明**

Please Select One Only  
 請選擇一項

- ☐ Non US Individual(s) with no US indicia 非美國人士 · 及沒有美國指標 (W-8BEN-E Form 表格)
- ☐ Non US Individual(s) with US indicia 非美國人士 · 但具有美國指標 (W-8 Form 表格)
- ☐ US Individual 美國人士 (W-9 Form 表格)

## DECLARATION 聲明

The Account Applicant(s) represent(s) that the information on this Account Application Form is true, complete and correct and that the representations in the attached Client Agreement of Differ Financial and Securities Limited (including the Risk Disclosure Statement) ("Agreement") are accurate. Information on this Account Application Form and representations in the Agreement are collectively referred as the "Account Opening Information". Differ Financial and Securities Limited ("Company") is entitled to rely fully on such Account Opening Information for all purposes, unless the Company receives notice in writing of any change.

All transactions to be concluded with or through the Company shall be subject to the terms and conditions of the Agreement. Please read the Agreement carefully before signing this Account Application Form. By signing below, the Account Applicant(s) confirm(s) that he/she/they have/has read through and understood all the terms and conditions set out in the Agreement, and agree(s) to adhere to and accept all the arrangements and relevant terms and conditions as set out in the Agreement, in particular the section entitled "Risk Disclosure Statements" and shall be bound by the Agreement as it may be amended from time to time.

I acknowledge that I have carefully read and fully understand the content of "Risk Disclosure Statement-Derivative Products". If I decide to trade the Derivatives Products, I agree to bear the risks involved. I confirm that I shall make my own risk assessment, or seek independent professional advice, and I have sufficient net worth to be able to assume the risks and bear the potential losses before trading the Derivatives Products, I understand that Differ Financial and Securities Limited does not encourage the clients to trade the Derivatives Products if they do not have any knowledge or experience trading to the Derivatives Products.

The Account Applicant(s) hereby agree(s) that Differ Financial and Securities Limited may use and/or disclose my/our personal information in accordance with Personal Information Collection Statement and acknowledge(s) that I/we have read and understood the said Statement.

戶口申請人茲聲明在本開戶申請表內的資料屬實、完整及正確。鼎豐金融證券有限公司的《客戶協議》(包括《風險披露聲明》)(以下簡稱為「協議」)內的一切申述準確。本開戶申請表內的資料及「協議」內的申述，以下統稱為「開戶資料」。除非鼎豐金融證券有限公司(以下簡稱為「本公司」)接到更改有關「開戶資料」內容的書面通知，本公司有權在任何用途上完全依賴這些「開戶資料」。本公司有權隨時聯絡任何人，包括但不限於戶口申請人之銀行、經紀或任何信貸調查機構，以求證實「開戶資料」內所載之內容。

所有由本公司與/或透過本公司進行之交易，均受「協議」之條文限制。請於簽署本開戶申請表前，細閱「協議」各項條文。於下方簽署乃確認閣下已細閱及明白「協議」所列明的各項條款及條件，及同意遵守及接受「協議」一切列明的安排及有關之條款及條件內容(尤其「風險披露聲明」一節)，並接受該等現時有效及不時修改的條文約束。

本人確認本人已細心閱讀及完全明白在《風險披露聲明---衍生產品》的內容。如果本人決定買賣該等衍生工具產品時，本人同意承擔有關風險。本人確認，在買賣衍生工具產品前，本人將會進行自我風險評估或尋求獨立專業意見，並擁有足夠資本承擔有關風險及損失。本人明白，如客戶並沒有衍生工具產品的知識或經驗，鼎豐金融證券有限公司並不鼓勵客戶進行衍生工具產品買賣。

戶口申請人同意鼎豐金融證券有限公司可根據其個人資料收集聲明，使用及/或披露本人/吾等之資料。確認已閱讀及明白可根據其個人資料收集聲明，使用及/或披露本人/吾等之資料。確認已閱讀及明白附上的聲明。

### Applicant(s) Signature with Company Chop (if necessary) 申請人簽署及公司印章 (如需)

	Witness Signature 見證人簽署
	Name(Please use block letter) 姓名(請使用正楷)
Applicant Signature 申請人簽署	Occupation(Please use block letter) 職業(請使用正楷)
Name(Please use block letter) 姓名(請使用正楷)	Address(Please use block letter) 地址(請使用正楷)
Date 日期	Date 日期

### Risk Statements 風險聲明 { to be completed by Differ Financial and Securities Limited 此欄由鼎豐金融證券有限公司填寫 }

Declaration by Licensed Person 持牌人士聲明：

I confirm that I have provided the Risk Disclosure Statement contained herein in language (English or Chinese) of the Client's choice and that I have invited the Client to read the Risk Disclosure Statement, ask questions and take independent advice, if the Client wishes.

本人確認已經以客戶選擇的語言(英文或中文)提供風險披露聲明並且本人已經邀請客戶閱讀該風險披露聲明，提出問題及尋求獨立的意見(如客戶有此意願)。

Signature of Licensed Person 持牌人士簽署:

CE No. 中央編號:

Name of Licensed Person 持牌人士姓名:

Date 日期:

Account Opening Form filled out by: 開戶申請書填寫人

AE Name:  
註冊人姓名

Signature  
註冊人簽署

CE No:  
中央編號

Date:  
日期

Document Checklist 文件核對表

		Listed Company 上市公司	Private Company 私人公司	Offshore Company 離岸公司	Partnership 合夥	Trust 信託
<b>A. Identification items 身份識別項</b>						
1	Full name 公司名稱	Y	Y	Y	Y	Y
2	Address of the incorporation place and principal place of business / operations 註冊成立地及主要業務/運營地點	Y	Y	Y	Y	Y
3	Date of incorporation / establishment 註冊/成立日期	Y	Y	Y	-	Y
4	Registration / Incorporation or identification number 註冊識別號碼	Y	Y	Y	-	Y
5	Identity of all directors 所有董事身份	Y	Y	Y	-	-
6	Identity of all beneficial owners / partners 所有 實益擁有人/合夥人身份	-	Y	Y	Y	Y
7	Identity of all authorized persons to act 所有 獲授權人士身份	Y	Y	Y	Y	Y
8	Identification information of trustee, settlor, protectors/enforcers, known beneficiaries 受託人·委託人·攝政人/執法人及已知實益擁有人身份	-	-	-	-	Y
<b>B. Documents obtained for Verification of Identity (Certified true copy*) 身份核實文件(核證副本*)</b>						
1	Certificate of incorporation 公司註冊證書	Y	Y	Y	-	-
2	Business registration (if applicable) 商業登記證 (如有)					
3	Memorandum and articles of association 公司組織章程大綱及細則	Y	Y	Y	-	-
4	Board resolution (for opening account and any authorized person to act on behalf of client) / Power of Attorney 董事會決議錄(開立帳戶及授權人以代表客戶之用) / 授權書	Y	Y	Y	-	-
5	Latest statutory records filed with the Companies Registry (e.g. copy of Annual Return or NNC1) 最近曾於公司註冊處記錄的法定存檔 ( 如：週年申報表 or 法團成立表格 )					
6	Certificate of incumbency / Good Standing (issued within 6 months, only applicable to overseas company) 職權證明書 / 公司良好聲譽證明書 ( 6 個月內發出 · 只適用於海外公司 )	-	-	Y	-	-
7	Identity and Address Proof of all directors 所有 董事身份及住址證明	Y	Y	Y	-	-
8	Identity and Address Proof of all beneficial owners / partners/ operators 所有 實益擁有人/合夥人/操作者身份及住址證明	-	Y	Y	Y	Y
9	Identity and Address Proof of authorized persons to act 所有 獲授權人士身份及住址證明	Y	Y	Y	-	-
10	Partnership Deed / Trust Deed 合夥契約/信託契約	-	-	-	Y	Y

\* The documents should be verified by suitable certifier, such as employee of the Company, licensed representatives, authorized insurance agent, bank manager, CPA, lawyer etc. The certifier should sign and date the copy documents with his position / capacity, contact details and state that it is a true copy of the original.

\*文件需由適當驗證人核實·例如公司員工、持牌人士、持牌保險代理人、銀行經理、執業會計師等。驗證人需於文件副本上標誌其個人職稱、聯繫方式 和日期·並聲明此乃原來的真實副本。

<b>C. Other Documents 其他文件</b>						
1	FATCA - Self Certification 美國海外帳戶稅收合規法案 - 客戶聲明	Y	Y	Y	Y	Y
2	W-8BEN-E (Entities) W-8BEN-E (實體)	Y	Y	Y	Y	Y
3	List of Authorized Signatories with specimen signatures 授權人名單及簽署式樣	Y	Y	Y	Y	Y
4	Guarantee 擔保書 (if applicable 如適用)	-	-	Y	-	-
5	IRD Self-Certificate Form – Entity 共同匯報標準自我證明表格-實體	Y	Y	Y	Y	Y
6	IRD Self-Certificate Form – Individual 共同匯報標準自我證明表格-個人					



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Document check list 文件核查 清單

1.	Are all the relevant answers / signatures provided in this Account Opening Form 已填寫/ 簽署開戶申請書的所有有關項目	<input type="checkbox"/> Yes 是	<input type="checkbox"/> No 否
2.	All needed documents about the company are obtained 取得有關公司所需文件副本	<input type="checkbox"/> Yes 是	<input type="checkbox"/> No 否
3.	All Directors/ Shareholders/ Authorized Signatories' ID /Passports are obtained 取得所有董事/股東/授權簽署人的身份證/護照副本	<input type="checkbox"/> Yes 是	<input type="checkbox"/> No 否
4.	FATCA Certificate is obtained 取得 FATCA 證明書	<input type="checkbox"/> Yes 是	<input type="checkbox"/> No 否
5.	Shareholder(s) PEP status confirmation 股東政治身份核實	<input type="checkbox"/> Yes 是	<input type="checkbox"/> No 否
6.	US IRS W8 Form 表格 US IRS W8 (如適用)	<input type="checkbox"/> Yes 是	<input type="checkbox"/> No 否
7.	HK IRD Self-Certification Forms 香港稅務局自我證明表格	<input type="checkbox"/> Yes 是	<input type="checkbox"/> No 否
8.	Director personal guarantee is obtained 取得董事個人擔保書	<input type="checkbox"/> Yes 是	<input type="checkbox"/> No 否
9.	External Client search (see below*) 客戶資料檢查(閣下表)	<input type="checkbox"/> Yes 是	<input type="checkbox"/> No 否 <i>Attach external reports 附報告</i>
10	Remarks 備註 :		
Document checked by 文件查核			
Signature of Staff 職員簽署			
Date 日期			

**Account brokerage rate (if different from posted rate) 戶口佣金**

Market/ Product 市場/ 形式	Online trading 網上交易	Offline trading 電話下單
HK stocks 香港股票市場		
Minimum brokerage (HKD): 基本佣金 (港幣)		

**External Client Search (verify/check)\* 客戶資料檢查詳情\***

Staff Name 員工姓名:	Date of search 檢查日期:
External company 檢查公司:	
Remarks / results 報告/結果:	

**ACCOUNT OPENING APPROVAL 開戶批核**

Responsible Officer Approval 負責人員批核 (Name of signatory 簽署姓名):	
Signature 簽署:	
Date 日期:	

Client information updated by 客戶資料更新

Name of Input 輸入者:	Signature 簽署:	Date 日期:
Name of checker 查核者:	Signature 簽署:	Date 日期: