



Securities Settlement Instruction 證券交收指示

Account No. 帳戶號碼 : _____ Account Name 賬戶名稱 : _____

- Please **RECEIVE** the following securities for my /our above securities account
請將下列證券收到本人/吾等上述證券帳戶
- Please **DELIVER** the following securities from my/our above securities account (Charge HKD5 /lot or min HK\$100 per stock)
請從本人/吾等上述證券帳戶交付證券 (手續費為每手5元或 每隻股票最低收費100港元)

and input the following Settlement Instruction into CCASS to effect settlement on (date) _____
同時將下列交收指示於(日期) _____ 輸入中央結算系統，以便進行交收。

Details for Settlement Instruction 交收指示資料

Name of Counterparty 交收公司名稱 :	CCASS ID 中央結算編號 :
Contact Person 聯絡人姓名 :	Contact Person Tel No 聯絡人電話 :

Details of share(s) 有關股票資料如下

Stock Code 股票代號	Stock Name 股票名稱	Quantity 股票數量

Payment Instruction 交收方式

- Free of payment 無須付款 Against payment 貨銀對付 HK\$ _____

I/We confirm that there is no change in beneficial ownership through effecting this settlement instruction. I/We also undertake to indemnify and keep your Company indemnified in respect of any costs and expenses, including but not limited to stamp duty, whatsoever which may be suffered or incurred by you in connection with this settlement instruction. 本人/吾等確認上述股票的實益擁有權並沒有因進行交收指示而出現變動。本人/吾等亦承諾就本交收指示所可能令貴公司蒙受或承擔的任何費用或開支，當中包括但不限於印花稅，向貴公司作出彌補及使之獲得彌償。

Note 注意:

Please note that instruction for same day settlement must reach us before 12:00 noon on the settlement day.
即日執行之指示需於中午十二時前交付或傳真至交收部。

The account name of where the securities is being transferred from must be exactly the same as the beneficiary account name with Differ Financial and Securities Limited. 原有證券公司或銀行之登記姓名必須與您的鼎豐金融證券有限公司戶口之登記姓名相同。

Client's Signature 客戶簽署

Please use signature(s) filed with Differ Financial and Securities Limited
請用留存本行紀錄之簽署式樣

For Official Use Only			
S.V.	Settlement	Account	Approved By
		Charges :	