



Commission and Fees (HKD)

Fees and Charges on services concerning Securities trades on The Stock Exchange of Hong Kong Limited

(A) Trade-related Services		
1.	Brokerage Commission (Offline) 經紀佣金 (電話交易)	0.20% (Min. HK\$80.00) 每宗交易額之 0.20% (最低 80 港元)
2.	Transaction Levy 交易徵費	0.0027% of transaction amount (rounded up to the nearest HK cent) 交易額的 0.0027% (計至最接近的港仙位數)
3.	Stamp Duty 政府印花稅	HK\$1.00 per HK\$1,000.00 (rounded up to the nearest HK dollar) 每 1,000 港元收 1.00 港元 (計至最接近的港元位數)
4.	Trading Fee 交易費	0.005% of transaction amount (rounded up to the nearest HK cent) 交易額的 0.005% (計至最接近的港仙位數)
5.	Trading Tariff 交易系統使用費	Nil 無
6.	CCASS Settlement Fee 中央結算股份交收費	0.002% on consideration (Min.HK\$2.00/Max.HK\$100.00) 每宗交易額之 0.002% (最低 2 港元/最高 100 港元)

(B) Scrip Handling and Settlement-related Services		
7.	Money Settlement Fee 中央結算系統的股份交收費	Nil 無
8.	Physical Scrip Deposit Fee 存入實物印花稅	HK\$5.00 per certificate / for each transfer deed 每張股票/每張轉手紙 5 港元
9.	Physical Scrip Withdrawal Fee 提取實物股票費	HK\$5.00 per board lot (Min. HK\$50.00 per stock) 每手 5 港元 (每隻股票最低收費 50 港元)
10.	Deposit Transaction Charge 實貨存入	Nil 無



11.	CCASS Settlement Instruction Fee (SI) 交收指示費-經由中央結算	Stock Receipt: Nil Stock Delivery: 0.01% of the stock value based on the last closing price, (Min. HK\$100 per stock) 存入股票 - 無 提取股票 - 每宗交易按前一日收市價計算 0.01% 市值, (每隻股票最低收費 100 港元)
12.	Investor Settlement Instruction Fee 投資者交收指示費(ISI)	Stock Receipt: Nil Stock Delivery: 0.005% of the stock value based on the last closing price, (Min. HK\$50 per stock) 存入股票 - 無 提取股票 - 每宗交易按前一日收市價計算 0.005% 市值, (每隻股票最低收費 HK\$50 港元)
13.	Compulsory Share Buy-back Fee 強制性補購股份費	0.5% on market value of settlement date 交收日市值的 0.5 %

(C) Account Maintenance

14.	Custody Fee 存倉費	Nil 無
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(D) Nominee Service and Corporate Actions

15.	Scrip Fee for Book Close 登記過戶費	HK\$2.00 per board lot 每手 2 港元
16.	Collection Fee of Cash Dividend 代收現金股息費	0.5% of gross dividend amount (Minimum HK\$20.00) 股息金額之 0.5% , 最低收費 20 港元
17.	Collection Fee of Scrip Dividend 代收股票股息費	0.5% of gross dividend amount (Minimum HK\$20.00) 股息金額之 0.5% , 最低收費 20 港元
18.	Collection Fee of Bonus Issue 代收紅股費	HK\$2.00 per board lot, minimum HK\$20.00 每手 2 港元, 最低收費 20 港元
19.	Corporate Action Fee for Rights / Warrants Exercise / Open or Cash offer 行使供股權 / 認股證的企業行動費	HK\$2.00 per board lot, minimum HK\$20.00 每手 2 港元, 最低收費 20 港元



20.	Rights issue Entitlement Charge 代收供股權收費	HK\$2.00 per board lot, minimum HK\$20.00 每手 2 港元, 最低收費 20 港元
21.	Fee for Excess Rights Application 申請額外供股權費	HK\$2.00 per board lot 每手 2 港元, 不設最低收費
22.	Fee for Open Offer / Cash Offer 代客行使認股證費	HK\$100.00 per transaction 每宗交易 100 港元
23.	Fee for tendering Shares under a Takeover Bid 在收購要約下提交股份費	0.125% of transaction amount (Min. HK\$100.00 per transaction) 所需金額之 0.125%, 每宗交易最低 100 港元
24.	Fee for Handling Share Consolidation / Split 股票合併及分拆收費	Nil 無



(E) Financing and Other Services		
25.	Securities IPO Subscription Handling Charge 證券新股認購手續費	<ul style="list-style-type: none">• Cash subscribe: HK\$50.00 per transaction• 現金認購每宗交易 50 港元
26.	Cash Account Overdue Interest 現金帳戶過期利息	Prime Lending Rate or DFSL Cost of funding whichever is higher + 6% p.a. 銀行最優惠利率/鼎豐金融證券有限公司的資本成本, 以較高者為準 + 6% (每年)
27.	Banking Service Charges <ul style="list-style-type: none">• Telegraphic Transfer (T/T) to overseas bank /foreign currency• T/T HK\$ to a local bank• 銀行服務收費• 電匯至海外銀行 / 外幣• 電匯港元/美元至本地銀行	<ul style="list-style-type: none">• Bank designated charges, additional HK\$100.00 fee• Bank designated charges, additional HK\$100.00 fee• 銀行指定收費, 另收 100 港元手續費• 銀行指定收費, 另收 100 港元手續費
28.	Fee for Claiming Unclaimed Benefit Entitlements 代申請索償還未領取權益費	HK\$500.00 per transaction (Including HK\$200.00 charged by CCASS) 每宗交易 500 港元 (其中 200 港元 代香港結算收取)
29.	Service Fee for retrieving monthly statement <ul style="list-style-type: none">• immediate month statement• statement over 1 month• 索取月結單服務費• 最近之結單• 一個月以前之結單	<ul style="list-style-type: none">• Nil• HK\$50.00 per month of statement• 無• 每份結單 50 港元
30.	Cheque cease / return to be paid 終止支票付款費 / 退票	HK\$200 per time 每次 200 港元
31.	Request to receive statement by post / Admin fee on monthly statement 郵寄形式收取日/月結單行政費	HK\$50.00 Per month 每月港幣 50 元
32.	Request to provide proof document 額外要求提供證明文件行政費	Admin fee HK\$100.00 per document 每份港幣 100 元

Note: All fees and charges are subject to revision without prior notice.

備註: 上述收費將有機會作出更改, 恕不另行通知。